

Applying Enterprise Architecture to business networks

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DOCTOR OF PHILOSOPHY



**Queensland University
of Technology**

Mohammad Adel Rostamzadeh Bakhtiyari

Science and Engineering Faculty
Queensland University of Technology

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Abstract

A \LaTeX style file, named `qutthesis.sty`, is developed, for writing PhD or Research Masters thesis. Developed by Associate Professor Yu-Chu Tian (Glen), it tries to fulfill QUT's Thesis requirements but it is unofficial.

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Keywords

Thesis, QUT, L^AT_EX, Template, Sample File

Acknowledgments

Here is the acknowledgment section.

Preface

Here is the preface.

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Nomenclature

Abbreviations

CAN	Controller Area Network
DM	Deadline-Monotonic (Scheduling)
EDF	Earliest-Deadline-First (Scheduling)
FP	Fixed Priority
IAE	Integral of Absolute Error
IP	Internet Protocol
ITAE	Integral of Time Absolute Error
LCT	Least-Compute-Time (Scheduling)
QoC	Quality of Control
QoS	Quality of Service
PD	Proportional plus derivative (Control/Controller)
PD2	Proportional plus up to the Second-Order Derivative (Compensation)
PD3	Proportional plus up to the Third-Order Derivative (Compensation)
PI	Proportional-Integral (Control/Controller)
PID	Proportional-Integral-Derivative (Control/Controller)
RM	Rate-Monotonic (Scheduling)
NCS	Networked Control System/s
SSE	Sum of Square Error
TCP	Transport Control Protocol
WCCD	Worst-Case Communication Delay

Symbols		Chapter
$A1 \sim Am$	Smart actuators	Ch8,9
$C1 \sim C5$	Control computers	Ch8,9
c	Worst case computation time	Ch5,6,7
d	Deadline	Ch5,6,7
e	Control error, $e = r - y_d$	Ch1
$f(\cdot)$	Function	Ch10
G	Controller or its transfer function	Ch6,7
G_c	Controller or its transfer function	Ch3,4,10
G_L	Process transfer function in disturbance path	Ch3,4
G_p	Process transfer function in manipulation path	Ch3,4,10
K	Gain	Ch6,7
K_c	Controller gain	Ch3,4,10
K_p	Process gain	Ch3,4,10
k	Present sampling instant	Ch3,4
	Integer	Ch8
	Integer representing the k th control period	Ch10
lr	Loss rate	Ch10
$M1 \sim M5$	Management computers	Ch8,9
m	Integer variable, or the number of smart actuators	Ch8,9
N	Number of requests for scaling down periods	Ch6,7
n	Total number of tasks	Ch5,6,7
	The number of data, or the number of smart sensors	Ch8,9
	Integer	Ch10
p	Period	Ch5,6,7
Q_1	Queue to store one control packet	Ch9,10,11

Q_2	First-in-first-out (FIFO) queue of past control packets	Ch9,10
R	Set-point	Ch3,4
r	Set-point of the plant output	Ch1
$r_1 \sim r_3$	Parameters for prediction of the controlled variable	Ch3,4
$S1 \sim Sn$	Smart sensors	Ch8,9
s	Laplace transform operator	6,7,10
T	Process time constant	Ch3,4
	Task	Ch5,6,7
	Control period	Ch9,10,11
T_C	Time instant to deal with control packet dropout	Ch9,11
T_c	Control integral time	Ch6,7
T_D	Time instant to dequeue Q_1	Ch9,10,11
T_E	Earliest time instant to receive control packet	Ch9
T_i	Controller integral time (min)	Ch3,4,10
T_O	Time instant at which a control period commences	Ch9
T_L	Latest time instant to accept control packet	Ch9,10
T_p	Time constant for plant	Ch6,7,10
T_s	Sampling period (min)	Ch3,4
T_t	Time series	Ch8
t	Integer, $t = 1, 2, \dots, N$	Ch8
	Time variable	Ch10
t_{ca}	Controller-to-actuator delay	Ch9
t_{ctr}	Control computation time	Ch9
t_j	Sum of sensor-to-controller-to-actuator jitters	Ch9
t_{sc}	Sensor-to-controller delay	Ch9
U	CPU utilization	Ch5,6,7
U^I	Workload of the subtask T^I	Ch6,7

U^{II}	workload of the subtask T^{II}	Ch6,7
u	Manipulated variable	Ch1,3,4,10
	Integer	Ch8
\hat{u}	The estimate of the control signal u	Ch10
u_d	The digitised form of u	Ch1
wrt	Worst response time	Ch5
y	Controlled variable (e.g., stage 7 temperature)	Ch1,3,4,6,7,10
\hat{y}	d steps ahead prediction of y	Ch3,4
\tilde{y}	Control error	Ch6,7
y_m	Measurement of the plant output y	Ch1
y_d	The digitised form of the measurement y_m	Ch1
y_r	Set-point of the controlled variable y	Ch10

Greek Letters

β_i	Degree of fulfilment for the i th rule	Ch3,4
Δ_H	QoC upper bound	Ch6,7
Δ_L	Dead zone around $\delta\tilde{y}$. $\Delta_L < \Delta_H$	Ch6,7
Δy_j	Control error ($= y_r - y_j$)	Ch10
$\Delta\hat{y}$	d steps ahead prediction of the y deviation	Ch3,4
$\delta\tilde{y}$	One-step difference of <i>tilde</i> y	Ch6,7
ϵ	Small and positive threshold in S_2	Ch3,4
	Forgetting factor	Ch6,7
	Side length of a non-empty box	Ch8
μ	Membership function	Ch3,4
	A measure on interval $[0, 1]$	Ch8
τ	Time delay	Ch6,7
	Scaling exponent	Ch8

τ_c	Sum of network delay and control computation delay	Ch10
τ_p	Process time delay	Ch10
θ	Process time delay (min)	Ch3,4

Superscripts

(i)	The first-, second, or third-order derivative ($i = 1, 2, \text{ or } 3$)	Ch10
max	Maximum value or upper bound (for p, p_i and N)	Ch6,7
min	Minimum value or lower bound (for p and p_i)	Ch6,7

Subscripts

c	Controller	Ch6,7
i	The first or sole subscript to some variables (c, d, O, p, T, wrt) to indicate the i th task	Ch5,6,7
j	The second subscript to some variables (c, d, O, T, wrt) to indicate the j th subtask decomposed from a task Integers representing the j th control period	Ch5,6,7 Ch10
k	Integers representing the k th control period	Ch10
p	Plant	Ch6,7
rq	Requests (for N)	Ch6,7
sp	Set-point for U and y	Ch6,7

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Chapter 1

Introduction

This is the introduction of the sample thesis template file. It shows how to use \LaTeX package `qutthesis.sty` for writing a thesis at QUT.

1.1 Overview

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While all users are welcome to send me comments and suggestions for further improvement of the style file, feedback and technical support may not be expected from me due to limited resources.

1.2 Illustration of References and Citations

References and citations are best handled in a consistent way by using BibTeX. In this method, you supply all the relevant information about references in a “.bib file” without regard to ordering or style. Then you let BibTeX format all citations and reference entries according to the chosen bibliographic style, and you don’t have to sweat all the font and punctuation and ordering details yourself.

There are two basic types of citation styles: numerical and author-year. I personally prefer the author-year style in writing thesis because this style allowing us keep reading the text without having to frequently turn to the reference pages.

There are several author-year bibliography styles that have been popularly used. I used to use “named” package. This package is simple and easy to use. However, it does not now how to break a line for a long citation involving several references and thus often generate bad boxes when you typeset the documents. Actually, what I did before was to ignore such bad boxes in draft version, and to manually remove such boxes and refine the layout before generating the final version.

A more complicated author-year bibliography style is “natbib”. There are many discussions on how to use this package. I do not intend to discuss how to use this package here; instead, I merely provide some examples of using this package.

Here is a citation example [Tian et al., 2007]; this paper was published by Tian et al. in 2007.

Another citation example is shown here [Peng et al., 2009]. Tian et al. [2007] showed that a system can be well stabilised using a new method proposed from the fuzzy logic perspective.

These two papers [Peng et al., 2009, Tian et al., 2007] are only two examples taken from our long list of publications. Many more examples are here [Peng and Tian, 2006, 2007, 2008, Tian and Levy, 2008a]. And even more are here [Tian and Gao, 1998, 1999, Tian and Levy, 2008a,b, Tian et al., 2003].

Our latest publication is [Peng et al., 2011].

1.3 Cross-Referencing of Appendices

Now the updated `qutthesis.sty` style file supports cross-referencing of appendices. This is an illustration of Appendix A to show you how to cross-reference an appendix. A similar example is shown in Appendix B with detailed explanations.

We are also be able to cross-reference equations and tables in appendices. For example, both Eq. (A.1) and Table A.1 are from Appendix A. From Appendix B, we can find Eq. (B.1) and Table B.1.

Chapter 2

Literature Review

Real-time systems are those in which timeliness is as important as logic correctness. Missing a deadline will result in a degradation of system performance for soft real-time systems or a system failure for hard real-time systems. The requirements for real-time system design have been extensively described in a large number of papers as well as in many books.

As a class of real-time systems, real-time and embedded control systems have been increasingly deployed in various applications. As outlined in Chapter 1, with the focus on dynamics analysis and integrated design, this thesis will address three related areas of real-time control:

- Control design for controllers: Well designed control strategies are essential for real-time control systems to provide the desired functionality. For complex processes that cannot be well handled using either simple Proportional-Integral-Derivative (PID) control or advanced model-based control, integrated design of model-free and intelligent control is an attractive way for process operation.
- Control implementation on controllers in multi-tasking environments: Real-time control systems are typically deployed in hardware platforms with limited resources, e.g., uni-processor, resulting in various constraints in computing and scheduling of real-time control tasks of the systems. While schedulability has been the focus of conventional scheduling theory, it is not enough for real-time control systems. We need good control performance as well! In order to meet the requirements of both control performance and multi-tasking schedulability, well-designed scheduling of multiple real-time control tasks becomes critical for these systems.

- Control design and its implementation on controllers in networked environments: Challenges exist when a real-time control system is implemented over networks. A better understanding of the dynamics of networked control is necessary for further improvement of system performance. As separate designs of control, scheduling, and networks do not provide optimal solutions to a networked control system (NCS), an integrated design of various system components is thus crucial for maximising the system performance.

Real-time systems are a vast field, so are control systems. Computer networks, which are essential for networked control, are also a very broad area. This marks the interdisciplinary nature of this research.

Chapter 3

Math Equations

This chapter shows you how to typeset math equations. We are not going to give a comprehensive description on this topic; but merely demonstrate this through some examples.

Several sections of this chapter are directly taken from our paper [Tian et al., 2007]. We are not going to cite this paper everywhere in this chapter. However, keep in mind that the majority of the materials shown below come from this paper.

3.1 Theoretical Background of Time Series Analysis

3.1.1 R/S Analysis

Denote the dynamics of the network traffic shown in Figure 3.1 as $x = \{x_k\}_{k=1}^N$, where N is the length of the sequence. This sequence can be treated as fractal records in time. Hurst invented the R/S analysis method to study such sequences. Later, Mandelbrot and Feder further developed this method in fractal theory.

For any fractal records in time $x = \{x_k\}_{k=1}^N$ and any $2 \leq n \leq N$, define

$$\langle x \rangle_n = \frac{1}{n} \sum_{i=1}^n x_i \quad (3.1)$$

$$X(i, n) = \sum_{u=1}^i [x_u - \langle x \rangle_n] \quad (3.2)$$

$$R(n) = \max_{1 \leq i \leq n} X(i, n) - \min_{1 \leq i \leq n} X(i, n) \quad (3.3)$$

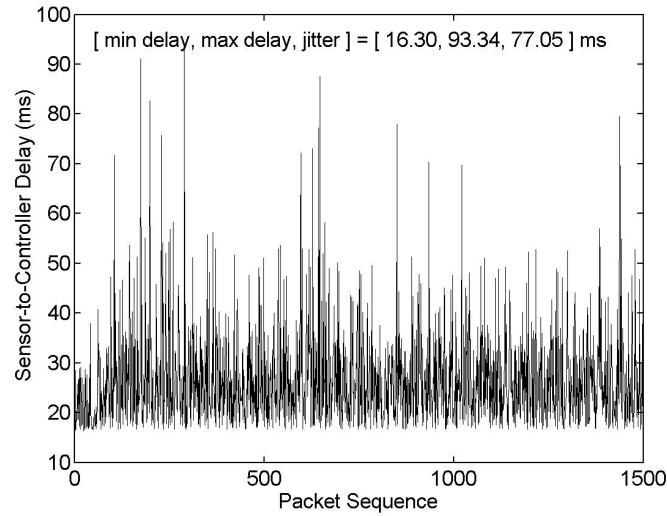


Figure 3.1: Sensor-to-controller delay.

$$S(n) = \left[\frac{1}{n} \sum_{i=1}^n (x_i - \langle x \rangle_n)^2 \right]^{1/2} \quad (3.4)$$

Hurst found that

$$R(n)/S(n) \sim \left(\frac{n}{2}\right)^H \quad (3.5)$$

where H is called the *Hurst exponent*.

As n changes from m to N , we obtain $N - m + 1$ points in $\ln(n)$ v.s. $\frac{\ln(R(n))}{S(n)}$ plane. Then, we can calculate the Hurst exponent for the time series using the least-square linear fit.

The Hurst exponent is usually used as a measure of complexity. The trajectory of the record is a curve with a fractal dimension $D = 2 - H$. Hence a smaller H means a more complex system. When applied to fractional Brownian motion, if $H > \frac{1}{2}$, the system is said to be *persistent*, which means that if for a given time period t the motion is along one direction, then in the time succeeding t it is more likely that the motion will follow the same direction. For a system with $H < \frac{1}{2}$, the opposite holds, that is, the system is *antipersistent*. But when $H = \frac{1}{2}$ the system produces Brownian motion, which is random.

Chapter 4

Demonstration of Tables

This is Chapter 4. We will demonstrate how to present results in tables. It is not difficult but need to practice.

The materials shown below is taken from my own PhD thesis. For simplicity, I do not cite any reference here.

4.1 Task Decomposition with Fixed Control Periods

To employ the hierarchical feedback scheduling proposed in this work, we first develop a new task model in which each of the original six control tasks is decomposed into two subtasks: data acquisition and QoC evaluation, and control computation and output. Without loss of the generality, the settings of the decomposed tasks and their priorities are shown in Table 4.1.

Table 4.1: Task model in the case studies. Top level scheduler task T_0 . Sampling and control task set $T_i = T_{i1} \cup T_{i2}$, $i = 1, 2, \dots, 6$. Control periods are the same as those in Table ...(omitted...). Priority levels are valid only for FP scheduling.

Settings	$G_1(s)$ T_{11}, T_{12}	$G_2(s)$ T_{21}, T_{22}	$G_3(s)$ T_{31}, T_{32}	$G_4(s)$ T_{41}, T_{42}	$G_5(s)$ T_{51}, T_{52}	$G_6(s)$ T_{61}, T_{62}
Priority	2, 8	3, 9	4, 10	5, 11	6, 12	7, 13
Period (ms)	0.8 - 2.0	1.0 - 2.5	1.0 - 2.5	1.2 - 3.0	1.2 - 3.0	1.5 - 3.8

Top level scheduler task T_0 with the highest priority of 1.

Execution times $c_0 = 10\mu s$; $c_{i1} = 50\mu s$ and $c_{i2} = 250\mu s$, $i = 1, 2, \dots, 6$.

Deadlines $d_0 = 1ms$; d_{i1} and d_{i2} , $i = 1, 2, \dots, 6$, are calculated using Eqn. (...omitted...).

Other Settings: $\Delta_H = 0.05$; $\Delta_L = 0.01$, $\epsilon = 0.9$, $U_{sp} = 0.92$, $N_{rqsp} = 5$.

We have tested the new task model, in which the original six tasks are decomposed into twelve subtasks, under fixed task periods and FP.

4.2 More Results

More results are omitted here.....

Chapter 5

Compensation for Control Packet Dropout in Networked Control Systems

Like time-varying network induced delay that have been investigated in the last two chapters, data packet dropout is another challenging problem in real-time networked control systems. Applying the real-time queuing protocol that we developed in the last chapter, we are able to limit the sum of the network induced communication delay and the control computation delay to within a control period. This one-period delay is further guaranteed by improved **integrated design of real-time networked control** through embedding packet dropout compensation into the queuing protocol.

This chapter proposes to compensate for the control packet dropout at the actuator using past control signals. Three model-free strategies for control packet dropout compensation, namely, PD (proportional plus derivative), PD2 (Proportional plus up to the second-order derivative), and PD3 (proportional plus up to the third-order derivative) are developed. They are suitable for a large number of NCS without the need to tune the compensator parameters. The proposed dropout compensation schemes are demonstrated through numerical examples.

The core content of this work has been published in two papers Tian and Levy [2008a,b].

5.1 Philosophy for Control Packet Dropout Compensation

A queuing protocol has been developed in the last chapter for NCSs. Control packet dropout refers to the situation where, in a control period, no control packet is received by the actuator

before the latest time instant to enqueue a control packet to queue Q_1 .

From the timelines in Figure (..... omitted) for the queuing protocol, it is seen that T_L is the latest *possible* time instant to receive control packets without packet dropout. After this time instant, some control packets may not be delivered successfully. T_L is also the cut-off, i.e., latest *allowable*, time instant for Q_1 to accept control packets in a control period. Even if some control packets can reach the actuator after this time instant, they are purposely dropped.

Before developing strategies to deal with control packet dropout, we would like to clarify the following two aspects, which are crucial for our development:

- 1) How much computing power and other resources are available for calculations of packet dropout compensation? and
- 2) How much chance will various packet dropout scenarios likely occur?

..... More discussions are omitted here

5.2 Mechanism for Control Packet Dropout Compensation

For real-time networked control, having the mechanism to output control signals at a fixed time instant in the real-time queuing protocol leads to more predictive timing behaviour of the NCS. This compensates for time-varying network delay, but does not solve the problem of control packet dropout. What will happen if a control packet is not received by the actuator by the time instant T_L ? In this case, Q_1 is empty and no control signal can be output to the plant. We need to “make” a control signal! This is what we will investigate in detail in the next few sections.

..... More discussions are omitted here

Chapter 6

Conclusions and Recommendations

6.1 Summary of the Research

This “qutthesis.sty” L^AT_EX package is easy to use. Thus, it is recommended to you to make your thesis writing much easier. In particular, you do not need to worry about the format and layout of your thesis; this package will look after all those aspects for you.

6.2 Recommendations

This package may not always be compatible with other packages. But I do not have time to make a comprehensive testing. Therefore, when using any other packages, check if there is any problem. Keep in mind that we have some declarations in “Abstract”. Please do have a look at those declarations.

Appendix A

Example I without Detailed Explanations

This is the first appendix.

A.1 Problem Formulation

The problem can be described using the following state space model

$$\dot{x}(t) = Ax(t) + Bu(t) + Gw(t) \tag{A.1}$$

where x is the system state.

A.2 Solving the Problem

To solve this problem, a new method is proposed.

The simulation results are shown in Table A.1.

Table A.1: Simulation results.

Time t	Output $y(t)$
1	10
2	12
3	15

Appendix B

Example II with Detailed Explanations

This is the 2nd appendix.

B.1 System Output Model

The model to describe the system output dynamics is given by

$$y(t) = Cx(t) + Hv(t) \tag{B.1}$$

B.2 Simulation for System Output

Simulation results of the system dynamic model are given Table B.1.

Table B.1: Simulation results of the system output model.

Time t	State $x(t)$
1	10
2	12
3	15

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